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Attorney Docket No.: P-6624-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SHEMI, Amotz et al.

Serial Number:

10/590,053

Filed:

August 21, 2006, as a National Phase Application of PCT

International Application No. PCT/IL2005/000208, filed on

February 20, 2005

For:

SYSTEM AND METHOD FOR THE FABRICATION OF AN ELECTRO-

OPTICAL MODULE

Group Art Unit:

Not Assigned

Examiner:

Not Assigned

This Communication Submitted:

December 20, 2007

Mail Stop Petition Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(B) AND UNDER 37 C.F.R. §1.47

Sir:

Applicants Yacov Malinovich and Eli Arad, for themselves and on behalf of coinventor Dr. Amotz Shemi, hereby respectfully petition the Commissioner under 37 C.F.R. §1.137(b) to revive the above-referenced unintentionally abandoned application, and to accord the application status as complete and acceptable in the absence of an oath or declaration of one of the inventors, Dr. Amotz Shemi under 37 C.F.R. §1.47.

SUPPORTING INFORMATION

Last Known Address of Dr. Shemi:

24 Malchei Yehuda Street Herzliya 46348 Israel APPLICANT(S): SHEMI, Amotz et al.

SERIAL NO.:

10/590,053

FILED:

August 21, 2006

Supporting Documents:

Attachment (1): Authorization to charge to Deposit Account No. 50-3400 the fee of \$775.00 under 37 C.F.R. §1.17(m) for the Petition to Revive and the fee of \$200.00 under 37 C.F.R. §1.17(g) for the 37 C.F.R. 1.47;

Attachment (2): Declaration for the present application signed by Yacov Malinovich and Eli Arad, two of the three inventors of the subject matter of this application;

Attachment (3): Revocation of Power of Attorney and Substitute Power of Attorney in favor of the undersigned, and including a change of correspondence address signed by Messrs Malinovich and Arad;

Attachment (4): Declaration of Shai Belzer, Chief Financial Officer of ColorChip Ltd.. ("ColorChip"), the company by whom the inventors were employed when the invention was made (the "Belzer Declaration").

DISCUSSION

Regarding the Aspect of This Petition to Revive an Abandoned Application:

The above identified application became abandoned on May 20, 2007 for failure to respond to a Notification of Missing Requirements Under 35 U.S.C. §371 (the Notification) dated November 20, 2006, which indicated that the oath or declaration filed with the application was not executed in accordance with 37 C.F.R. §1.66 or 37 C.F.R. §1.68.

As a consequence of the engagement of new attorneys, as indicated by Attachment (3), and the physical transfer of the application file, the need to respond to the Notification The entire delay in filing the required reply in response to the was overlooked. Notification from the due date for the reply until the filing of the present, grantable Petition to Revive under to 37 C.F.R. §1.137(b), was unintentional.

The accompanying declaration (Attachment (2)) signed by Messrs. Malinovich and Arad, two of the three inventors, is in proper form, and overcomes the deficiency stated in the Notification as to these two inventors. It is respectfully submitted that the aspect of this petition directed to 37 C.F.R. 1.47, the Belzer Declaration (Attachment (4)), and the other APPLICANT(S): SHEMI, Amotz et al.

SERIAL NO.:

10/590,053

FILED:

August 21, 2006

information contained herein, constitute a complete response to the Notification as to Dr. Shemi.

Regarding the Aspect of This Petition Directed to 37 C.F.R. 1.47:

It is respectfully submitted that the requirements of 37 C.F.R. 1.47 have been satisfied, and this application should be accepted without a signed oath or declaration by Dr. Shemi

In particular, the Belzer Declaration demonstrates that certain papers, including the declaration for the '053 Application, were transmitted to Dr. Shemi by Registered Mail on August 30, 2007. In a telephone conversation on September 25, 2007 between Dr. Shemi and Mr. Belzer, Dr. Shemi acknowledged that he received the materials sent to him.

The Belzer Declaration further shows that during the September 25, 2007 telephone conversation with Dr. Shemi, Mr. Belzer, verbally requested Dr. Shemi to sign the Declaration for the '053 Application, but he verbally refused to do so. Mr. Belzer's Declaration also shows that Dr. Shemi's departure from ColorChip was not on friendly terms, and that Dr. Shemi responded with hostility when Mr. Belzer requested him to sign the declaration for the '053 Application.

Finally, Mr. Belzer states that it was clear from the conversation that Dr. Shemi understood exactly what he was being asked to do, and it was clear that he would not do it.

It is respectfully submitted Messrs. Malinovich and Arad, and ColorChip are entitled to rely on 37 C.F.R. § 1.47, and have fully complied with its requirements.

In view of the foregoing, prompt and favorable consideration of this Petition, reinstatement of the application, and acceptance of the application under 37 C.F.R. § 1.47 are respectfully requested.

Date: December 20, 2007

Respectfully submitted,

Corone (1 to)

Yacov MALINOVITZ et al

Lawrence A Hoffman, Reg. No. 22,436

EMPK &SHILOH, LLP 116 John Street, Suite 1201 New York, NY 10038

General Phone: (212) 608-4141 Facsimile: (212) 608-4144

3

Attorney Docket No.: P-6624-US

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original and first sole inventor or an original and first joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR THE FABRICATION OF AN ELECTRO-OPTICAL MODULE

the Specificati	on of which			
	is attached hereto was filed on February 20, as United States Applicat Application No. PCT/IL20 and was amended on	ion Number	ĎΈ	International fapplicable).

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim priority under Title 35, United States Code, \$119 of any provisional application filled in the United States in accordance with 35 U.S.C. \$119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FILED APPLICATION(S)

APPLICATION	COUNTRY	(DAY/MONTH/YEAR FILED)	PRIORITY CLAIMED
<u>NUMBER</u> 60/545,171 60/545,496	US ·US	18 February 2004 19 February 2004	YES YES
PCT/II.2005/000208	IL	20 February 2005	YES

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by

Attorney Docket No.: P-6624-US

the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56, which cocurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NO.

FILING DATE (DAY/MONTH/YEAR)

STATUS - PATENTED, PENDING, ABANDONED

I hereby appoint as my attorney(s) and agent(s) Mark S. Cohen (Attorney, Registration No. 42,425) or Caleb Pollack (Attorney, Registration No. 37,912) or Guy Yonay (Attorney, Registration No. 52,388) or Guy Levi (Attorney, Registration No. 55,376) or Naim Shichrur (Agent, Registration No. 56,248) or Rachel Teitelbaum (Agent, Registration No. 56,708) or David A. Loswenstein (Attorney, Registration No. 35,591) or Robert D. Schaffer (Attorney, Registration No. 33,775) or Michael A. Yamin (Agent, Registration No. 44,414), said attorney(s) and agent(s) with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. These attorneys and agents are associated with Customer Number 49443.

Please address all correspondence regarding this application to:
PEARL COHEN ZEDEK LATZER, LLP
1500 BROAD WAY, 12TH FLOOR
NEW YORK, NEW YORK 10036

Customer No. 49443

Direct all telephone calls to (646) 878-0800 and all facsimiles to (646) 878-0801.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR: SHEMI, Amotz

FULL RESIDENCE ADDRESS: 24 Mulchoi Yehuda Street, Herzliya 46348, Israel

COUNTRY OF CITIZENSHIP: Isracl

FULL POST OFFICE ADDRESS: same

SIGNATURE OF INVENTOR _____

DATE

(day / month / year)

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Approved for use through 11/50/2005, OME 0651-0035

U.S. Palant and Tradamark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it displays a valid OMB control

REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	10/590,053	
Filing Date	August 21, 2006	•
First Named Inventor	SHEMI, Amotz	
Group Art Unit		
Examiner Name		
Altomey Docket Number	P-6624-US	

l hereby revoke ali prev	vious powers of attorney or authorizations o	of agent give	n in the above	e Identified application:	
☐ A Power of Atto	omey or Authorization of Agent is sul	bmitted he	rewith.		
OR					
I hereby appoi	nt the practitioners associated with the	ne Custom	er Number:	56639	
☐ Please change	e the correspondence address for the	above-ide	entified appl	ication to:	
The address a Customer Num					
OR					
Firm or Individual Name	EMPK & Shiloh, LLP				
Address	116 John Stroot Suito 1201				
Address	1700 Diagonal Road, Suite 450			•	
City	New York	State	NY	ZIP 10038	
Country	USA				
Telephone	(212) 608 4141	Fax	(212) 608	-4144	
I am the:					
Applicant/Inven	itor				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)					
SIGNATURE of Applicant or Assignee of Record					
· Name A	IRAD, ER				
Signature	1				
Date	30,8.67	Telephone	ŧ		
Note: Signatures of all required. Submit multip	the inventors or assignees of record of the forms if more than one signature is re-	ne entire inte quired, see	erest of their below.*	representatives(s) are	
Total of f	forms are submitted.				

This collection of information is required by 97 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 97 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preporting, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DIO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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REVOCATION OF POWER OF ATTORNEY WITH **NEW POWER OF ATTORNEY** AND CHANGE OF CORRESPONDENCE **ADDRESS**

Application Number	10/690,053
Filing Date	August 21, 2006
First Named Inventor	SHEWI, AMOLZ
Group Art Unit	
Examiner Name	
Attorney Docket Number	P-8624-US

	· · · · · · · · · · · · · · · · · · ·			
I hereby revoke all pr	previous powers of attorney or authorizations of agent given in the above-identified application	•		
☐ A Power of A	Attorney or Authorization of Agent Is submitted herewith,			
OR ·		•		
☑ I hereby appo	point the practitioners associated with the Customer Number. 56639			
Please chang	nge the correspondence address for the above-identified application to:			
The address Customer Nu	s associated with lumber:			
ÓR				
Firm or Individual Nam	ne EMPK & Shiloh, LLP			
Address	116 John Street, Suite 1201			
Address	1700 Diagonal Road, Suite 450			
City	New York State NY ZIP 10038			
Country	USA			
Telephone	(212) 608 4141 Fax (212) 608-4144			
I am the:				
Applicant/Inve	ventor			
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)				
	SIGNATURE of Applicant or Assignee of Record	·		
Name	MALINOVICH, Yacov			
Signature	1/1/1/1/			
Date	1696+ Telephone + 972-4-94313'	7-7		
Note: Signatures of a required, Submit mut	all the inventors or assignees of record of the entire interest of their representatives(s) are	e e		
Total of	forms are submitted.			

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Considerationly is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, propering, and submitting this completed application form to the USPTO. Time will very depending upon the brinking case, Any comments on the amount of finite you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pessent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patance, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION OF SHAI BELZER IN SUPPORT OF PETITION UNDER 37 C.F.R. §1.47(a)

- I, Shai Belzer, declare as follows, under penalty of perjury:
- 1. I am employed as the Chief Financial Officer of ColorChip, Ltd. Of 1 Leshem Street, Industrial Park, Caesarea 38900, Israel (hereafter, "ColorChip.") since November 20, 2005
- 2. I am making this Declaration in support of a Petition being submitted to the U.S. Patent and Trademark Office to accept U.S. Patent Application Ser. No. 10/590,053, filed on August 21, 2006 (the '053 Application), without a declaration signed by one of the inventors, Dr. Amotz Shemi, a former ColorChip employee. This declaration is based on personal knowledge and on records of ColorChip, which I believe to be correct
- 3. According to ColorChip's records, Dr. Shemi was employed by ColorChip as Chief Executive Officer from October 3, 2002 to July 4, 2005.
- 4. The '053 Application is a U.S. National Phase application based on international application PCT/IL2005/000208 (the PCT Application).
- 5. It was determined that Dr. Amotz Shemi, Yacov Malinovich, and Eli Arad, all employees of ColorChip, were the inventors of the subject matter of the PCT Application. Dr. Shemi, and Messrs. Malinovich and Arad, were accordingly requested to sign a Declaration for the U.S. '053 Application. Messrs. Malinovich and Arad signed the declaration without objection.
- 6. On August 30, 2007, I sent copies of several documents, including the Declaration for the '053 Application, to Dr. Shemi by Registered Mail at the following address, indicated in ColorChip's records as Dr. Shemi's last known address:

24 Malchei Yehuda Street Herzliya 46348 Israel

- 7. Along with the other papers I included a letter dated August 29, 2007 requesting Dr. Shemi to sign and return the documents. A copy of my letter is attached as Exhibit A.
- 8. On September 25, 2007, Dr. Shemi called me and told me he had received the papers. I verbally requested Dr. Shemi to sign the Declaration for the '053 Application, and he verbally refused to do so. According to my understanding, Dr.

Shemi's departure from ColorChip, was not on friendly terms. In our September 25 conversation, Dr. Shemi responded with hostility, and stated, in effect, that under the circumstances, it was outrageous for ColorChip to ask for his cooperation.

9. It was clear from the conversation that Dr. Shemi understood exactly what I wanted him to do, and it was clear that he would not do it.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 19001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date 18 December 2007

Shai Belzer

EXHIBIT A



August, 29, 2007

Attention: Amotz Shemi

.Dear Amotz,

During our patent filing process we where surprised to learn that several documents that require your signature where never signed.

The Patents that I refer to are:

- System and Method for manufacturing of electro-optical module
- Methods and Process of tapering waveguides and of forming optimized waveguide structures.

For your convenience attached please find all the necessary documents that require your signature.

I would appreciate it if you would sign on the signature block and fax the documents to fax number: 04.6377307.

Please send the original signed documents to:

ColorChip (Israel) LTD Attention Shal Belzer 1 Leshem St. Caesarea 38900 Israel.

Thanks for your cooperation, please do not hesitate to contact me for any clarification.

Best Regards.

Shai Belzer

Chief financial officer

Color-Chip

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Page 1 of 2

DEC 2 0 2007.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF CONDERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS NO. 1031 119 Alternatis, August 22112-1450 WWW.unprescov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

10/590,053 Amotz Shemi P-6624-US

INTERNATIONAL APPLICATION NO.

49443 PEARL COHEN ZEDEK, LLP PEARL COHEN ZEDEK LATZER, LLP 1500 BROADWAY 12TH FLOOR NEW YORK, NY 10036 Received 27 NOV 2605 Pearl Cohen Zedek Latzer

Date Malled: 11/20/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Copy of the International Application filed on 08/21/2006
- Copy of the International Search Report filed on 08/21/2008
- Copy of IPE Report filed on 08/21/2006
- Preliminary Amendments filed on 08/21/2006
- Oath or Declaration filed on 08/21/2006
- Request for Immediate Examination filed on 08/21/2006
- US Basic National Fees filed on 08/21/2006
- Priority Documents filed on 08/21/2006

The applicant needs to satisfy supplemental fees problems indicated below

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the Inventors, in comptiance with 37 CFR 1 497(a) and (b), identifying the application
 by the International application number and international filing date. The current oath or declaration does
 not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1 68 or 37 CFR 1 68
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$86 for a small entity in compliance with 37 CFR 1.27,
 must be submitted with the missing items identified in this letter,

SUMMARY OF FEES DUE:

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Page 2 of 2

UEC 2 0 2007

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER, FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 15)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

US APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/590,053	PCT/IL05/00208	P-6624-US

FORM PCT/DO/EO/905 (37) Formatties Notice)

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DEC 2 0 2007 Attorney Docket No.: P-6624-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

SHEMI, Amotz et al.

Examiner:

Not yet assigned

Serial No.:

10/590,053

Group Art Unit:

Not yet assigned

Filed:

August 21, 2006

Title:

SYSTEM AND METHOD FOR MANUFACTURING OF ELECTRO-OPTICAL

MODULE

ATTN: Office of Petitions
Commissioner For Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO REVIVE UNINTENIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(b) AND UNDER 37 C.F.R. §1.47

Dear Sir:

Attached is a Petition to Revive Unintentionally abandoned application under 37 C.F.R. 37 C.F.R. §1.137(b) as well as a Petition under §1.47 in connection with the above identified matter.

Please charge the fee of \$775.00 under 37 C.F.R. §1.17(m) for the Petition to Revive and the fee of \$200.00 under 37 C.F.R. §1.17(g) for the 37 C.F.R. 1.47 Petition; as well as any other fees that may be due to Deposit Account No. 50-3400.

12/26/2007 GFREY1

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Laurenn

Lawrence A Hoffman Attorney for Applicant(s) Registration No. 22,436

Respectfully submitted

Dated: December 20, 2007 EMPK & Shiloh, LLP 116 John St., Suite 1201 New York, NY 10038 General Phone: (212) 608-414

General Phone: (212) 608-4141 Facsimile: (212) 608-4144

IAP12 Rec'd PCT/PTO 2 0 DEC 2007,

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DEC 2 0 2007

COVER PAGE LISTING DOCUMENTS BEING TRANSMITTED VIA FACSIMILE

2 Pages via facsimile: 571-273-8300 Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (fax no. 1-571-273-8300/) on 20 December, 2007

Ellen Boermeester

APPLICANT(S):	SHEMI, Amotz et al.	EXAMINER:	Not yet assigned
Serial No.:	10/590,053	GROUP ART UNIT:	Not yet assigned
Filing Date:	August 21, 2006	ATTORNEY DOCKET NO.:	P-6624-US
FOR:	SYSTEM AND METH OPTICAL MODULE	OD FOR THE FABRICATIO	n of an electro-

lease find:	
Provisional Cover Sheet Utility Patent Application Transmittal RCE Transmittal Sheet Peter Transmittal Sheet Patont Application Under 35 USC 111(a) Provisional Patent Application Under 35 USC 111(b) Transmittal Sheet for Entering National Phase Containing: Pages of Specification Pages of Claims Page of Abstract Poges of Formal Drawings Pages of Signed Declaration & Power of Attorney Request for Correction of Recordation of Assign. and Recordation Cover Sheet Copy of Notice of Recordation of Assign. Recordation of Assign. Cover Shoet & Signed Assign.	dated

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Page 1 of 2



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<u>United States Patent and Trademark Office</u>

UNITED STATICS HEPARTATION OF COMMERCE.
United States Patent and Traderstels Office
ROMAISSIONER, FOR PATENTS
ROMAINS (1994) 2213-1450
OVERMENT OF THE PATENTS
OVERMENTS.

LA. FILING DATE

02/20/2005

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/590.053

PEARL COHEN ZEDEK LATZER, LLP 1500 BROADWAY 12TH FLOOR

PEARL COHEN ZEDEK, LLP

Amotz Shemi

P-6624-US

INTERNATIONAL APPLICATION NO. PCT/JL05/0020B

Received

27 NOV 2698

PRIORITY DATE

02/18/2004

Pearl Cohen Zedek Latzer

CONFIRMATION NO. 5606

371 FORMALITIES LETTER OC000000021284915*

Date Mailed: 11/20/2006

NEW YORK, NY 10036

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following Items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1 495)

- Indication of Small Entity Status
- Copy of the International Application filed on 08/21/2006
- Copy of the International Search Report filed on 08/21/2006
- Copy of IPE Report filed on 08/21/2006
- Preliminary Amendments filed on 08/21/2006
- Oath or Declaration filed on 08/21/2006
- Request for Immediate Examination filed on 08/21/2008
- U S Basic National Fees filed on 08/21/2006
- Priority Documents filed on 08/21/2008

The applicant needs to satisfy supplemental fees problems indicated below

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1 497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1 66 or 37 CFR 1 68
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$66 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

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Total additional fees required for this application is \$65 for a Small Entity:

\$65 Burcharge

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1 5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-865-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

TO STATE OF THE ST	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
U.S. APPLICATION NUMBER NO. 10/590,053	PCT/IL05/00208	P-6624-US

FORM PCT/DO/EO/805 (371 Formallies Notice)

Filed:

Attorney Docket No.: P-6624-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: SHEMI, Amotz et al. DEC 2 0 2007

Serial Number: 10/590,053

August 21, 2006, as a National Phase Application of PCT

International Application No. PCT/IL2005/000208, filed on

February 20, 2005

For: SYSTEM AND METHOD FOR THE FABRICATION OF AN ELECTRO-

OPTICAL MODULE

Group Art Unit: Not Assigned

Examiner: Not Assigned

This Communication Submitted: December 20, 2007

Mail Stop Petition Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(B) AND UNDER 37 C.F.R. §1.47

Sir:

Applicants Yacov Malinovich and Eli Arad, for themselves and on behalf of co-inventor Dr. Amotz Shemi, hereby respectfully petition the Commissioner under 37 C.F.R. §1.137(b) to revive the above-referenced unintentionally abandoned application, and to accord the application status as complete and acceptable in the absence of an oath or declaration of one of the inventors, Dr. Amotz Shemi under 37 C.F.R. §1.47.

SUPPORTING INFORMATION

Last Known Address of Dr. Shemi;

24 Malchei Yehuda Street Herzliya 46348 Israel APPLICANT(S): SHEMI, Amotz et al.

10/590,053

SERIAL NO.: FILED:

August 21, 2006

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Supporting Documents:

Attachment (1): Authorization to charge to Deposit Account No. 50-3400 the fee of \$775.00 under 37 C.F.R. §1.17(m) for the Petition to Revive and the fee of \$200.00 under 37 C.F.R. §1.17(g) for the 37 C.F.R. 1.47;

Attachment (2): Declaration for the present application signed by Yacov Malinovich and Eli Arad, two of the three inventors of the subject matter of this application;

Attachment (3): Revocation of Power of Attorney and Substitute Power of Attorney in favor of the undersigned, and including a change of correspondence address signed by Messrs Malinovich and Arad;

Attachment (4): Declaration of Shai Belzer, Chief Financial Officer of ColorChip Ltd.. ("ColorChip"), the company by whom the inventors were employed when the invention was made (the "Belzer Declaration").

DISCUSSION

Regarding the Aspect of This Petition to Revive an Abandoned Application:

The above identified application became abandoned on May 20, 2007 for failure to respond to a Notification of Missing Requirements Under 35 U.S.C. §371 (the Notification) dated November 20, 2006, which indicated that the oath or declaration filed with the application was not executed in accordance with 37 C.F.R. §1.66 or 37 C.F.R. §1.68.

As a consequence of the engagement of new attorneys, as indicated by Attachment (3), and the physical transfer of the application file, the need to respond to the Notification was overlooked. The entire delay in filing the required reply in response to the Notification from the due date for the reply until the filing of the present, grantable Petition to Revive under to 37 C.F.R. §1.137(b), was unintentional.

The accompanying declaration (Attachment (2)) signed by Messrs. Malinovich and Arad, two of the three inventors, is in proper form, and overcomes the deficiency stated in the Notification as to these two inventors. It is respectfully submitted that the aspect of this petition directed to 37 C.F.R. 1.47, the Belzer Declaration (Attachment (4)), and the other

APPLICANT(S):

SHEMI, Amotz et al.

SERIAL NO.:

10/590,053

FILED:

August 21, 2006

information contained herein, constitute a complete response to the Notification as to Dr. Shemi.

Regarding the Aspect of This Petition Directed to 37 C.F.R. 1.47:

It is respectfully submitted that the requirements of 37 C.F.R. 1.47 have been satisfied, and this application should be accepted without a signed oath or declaration by Dr. Shemi

In particular, the Belzer Declaration demonstrates that certain papers, including the declaration for the '053 Application, were transmitted to Dr. Shemi by Registered Mail on August 30, 2007. In a telephone conversation on September 25, 2007 between Dr. Shemi and Mr. Belzer, Dr. Shemi acknowledged that he received the materials sent to him.

The Belzer Declaration further shows that during the September 25, 2007 telephone conversation with Dr. Shemi, Mr. Belzer, verbally requested Dr. Shemi to sign the Declaration for the '053 Application, but he verbally refused to do so. Mr. Belzer's Declaration also shows that Dr. Shemi's departure from ColorChip was not on friendly terms, and that Dr. Shemi responded with hostility when Mr. Belzer requested him to sign the declaration for the '053 Application.

Finally, Mr. Belzer states that it was clear from the conversation that Dr. Shemi understood exactly what he was being asked to do, and it was clear that he would not do it.

It is respectfully submitted Messrs. Malinovich and Arad, and ColorChip are entitled to rely on 37 C.F.R. § 1.47, and have fully complied with its requirements.

In view of the foregoing, prompt and favorable consideration of this Petition, reinstatement of the application, and acceptance of the application under 37 C.F.R. § 1.47 are respectfully requested.

Date: December 20, 2007

Respectfully submitted,

Yacov MALINOVITZ et al

Lawrence A Hoffman, Reg. No 23,436

EMPK &SHILOH, LLP 116 John Street, Suite 1201

New York, NY 10038

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